

# PHF LEASING LIMITED

Regd. & Corp. Office : 923, G.T. Road, Jalandhar (Pb.) INDIA  
Ph. : 0181-4639903-06  
email : phf\_leasingltd@yahoo.co.in  
Website : www.phfleasing.com  
CIN No. : L65110PB1992PLC012488



To,  
Head- Listing & Compliance  
Metropolitan Stock Exchange of India Limited (MSEI)  
Building A, Unit 205A, 2nd Floor,  
Piramal Agastya Corporate Park,  
L.B.S Road, Kurla West, Mumbai - 400 070  
Maharashtra, India

**Sub: Submission of Annual Secretarial Compliance Report pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

In reference to the compliance of Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("as amended") read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019, we are submitting herewith the Annual Secretarial Compliance Report issued by M/s Harshita Aggarwal & Associates, Company Secretaries, for the financial year ended March 31, 2021.

For and on behalf of  
M/s PHF Leasing Limited

Vijay Kumar Sareen  
Whole-time Director  
DIN: 07978240  
Add : H. No. 20, 21, Near DAV College,  
Surya Vihar, Jalandhar - 144008, Punjab

Date : June 30, 2021  
Place : Jalandhar



**Harshita Aggarwal & Associates**

Company Secretary

M.Com, ACS

Office Add: 72, Kasturba Nagar, Jalandhar Cantt-144005

Mobile: +91 8727914422

E-mail: [csharshitaaggarwal@gmail.com](mailto:csharshitaaggarwal@gmail.com)

**SECRETARIAL COMPLIANCE REPORT OF PHF LEASING LIMITED FOR THE YEAR ENDED MARCH 31, 2021**

I, Harshita Aggarwal, Proprietor, Harshita Aggarwal & Associates, Practicing Company Secretaries, have examined:

- (a) all the documents and records made available to me and explanation provided by **PHF Leasing Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification

for the year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(To the extent applicable on the Company for the Review Period)**





## Harshita Aggarwal & Associates

Company Secretary

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- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buy Back of Securities) Regulations, 2018; **(Not applicable on the Company for the Review Period)**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not applicable on the Company for the Review Period)**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not applicable on the Company for the Review Period)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not applicable on the Company for the Review Period)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015
- (i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act, 2013 and dealing with client; **(To the extent applicable on the Company)**
- (j) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.





## Harshita Aggarwal & Associates

Company Secretary

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(c) There was no action taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

(d) There was no observation in the Secretarial Compliance Report/ Secretarial Audit Report for the previous years, therefore no action was required to be taken by the listed entity.

**For Harshita Aggarwal & Associates**

**Company Secretaries**



**Harshita Aggarwal**

**Proprietor**

**M. No. A55717**

**COP No. 21189**

**UDIN: A055717C000557911**

**Date: June 30, 2021**

**Place: Jalandhar**